

## To Achieve Stable Spherical Clusters: General Principles and Experimental Confirmations

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**Abstract:** General principles for designing stable highly symmetrical clusters are proposed. This approach takes advantage of both the extra stability of cage aromaticity and the good geometrical balance between the outer cage and the endohedral atom. The applicability of these design principles was confirmed by gas-phase experimental observations on group 14 element cages with endohedral Al's and also is illustrated by many literature examples of diverse systems.

### Introduction

Highly symmetrical and chemically (quasi)inert clusters have long been sought because they may serve as ideal building blocks for tailored nanomaterials. Clusters with closed shells of electrons are good prospects. According to the cluster shell model, metal clusters containing the correct number of valence electrons, e.g., 2, 8, 20, 40, 58, ..., to close electronic shells should exhibit marked stability.<sup>1</sup> Wade's famous  $2n + 2$  skeletal electron rule, typically applied to *closo* boranes and carboranes, predicts a different electron count<sup>2</sup> as does Hirsch's insightful  $2(n + 1)^2$  electron counting rule, 2, 8, 18, 32, 50, ..., for spherical clusters<sup>3</sup> such as fullerenes<sup>3a</sup> and some well-known inorganic cages.<sup>4</sup> Various novel three-dimensional aromatic compounds have been designed according to such rules.<sup>5</sup> However, limitations arising from complications in the electronic structures of some clusters have been noted.<sup>6</sup> Close atomic packing is another important factor determining stability whereby clusters with 13, 55, 147, ... atoms would be magic.<sup>7</sup> Actually, both geometric and electronic considerations must be taken into account to achieve stable clusters, "it will be ideal if the close atomic

packing as well as the electronic shell closures can be simultaneously achieved to give a cluster-enhanced stability."<sup>8</sup> Similar considerations have been pointed out since the early 1990s.<sup>9</sup> However, despite their physical and chemical basis, these two guidelines do not suffice. Although the recently generated  $\text{AlPb}_{12}^+$ , for example, has a close-packed icosahedral structure, the 50 valence electrons do not follow the cluster shell model and only correspond to the Hirsch rule superficially.<sup>10</sup> Some of the high angular momentum spherical molecular orbital levels are split and are not fully occupied. This results in nonoptimum electronic structures and a reduction or elimination of the aromaticity.

We now present general principles for the design of stable highly symmetrical clusters, which take advantage of both the extra stability of cage aromaticity<sup>11</sup> and good geometrical balance. Experimental confirmations are provided and literature examples are discussed.

### Computational and Experimental Methods

Geometries were fully optimized and vibrational frequencies were computed to characterize the nature of the stationary points. Nucleus-

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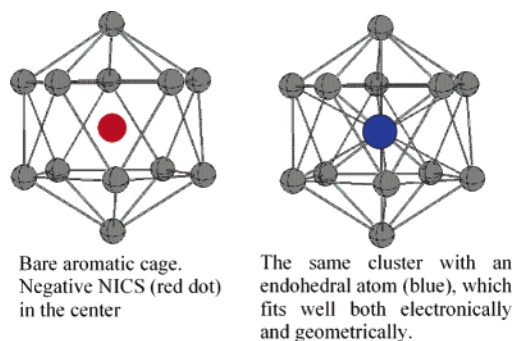
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- (9) "The right fits both geometrically and electronically" strategy, pointed out by Schleyer and Boldyrev in 1991 (Schleyer, P. v. R.; Boldyrev, A. I. *J. Chem. Soc. Chem. Commun.* **1991**, 1536), has served to design rule-breaking molecules, such as those containing planar hypercoordinate carbon: (a) a typical example is  $D_{6h}$   $\text{CB}_6^{2-}$  with a planar hexacoordinate carbon, see Exner, K.; Schleyer, P. v. R. *Science* **2000**, *290*, 1937. (b) See the most recent review Minkin, V. I.; Minyaev, R. M.; Hoffmann, R. *Russ. Chem. Rev.* **2002**, *71*, 869.
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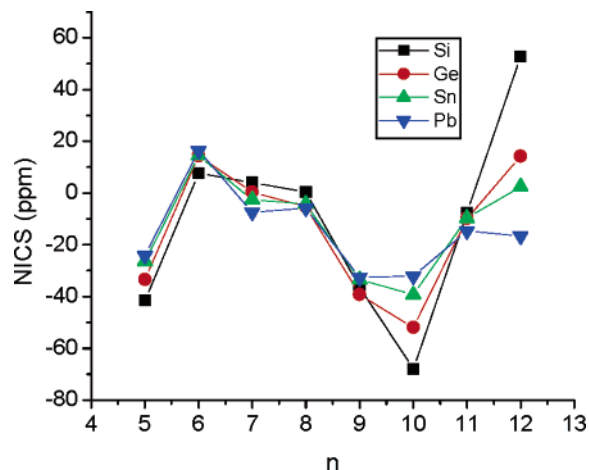


**Figure 1.** Procedure to design a stable spherical cluster.

independent chemical shifts (NICS, in ppm), a simple and efficient method to evaluate aromaticity,<sup>12</sup> were computed at the cage centers of the optimized geometries of the empty cage molecules using the gauge-independent atomic orbital (GIAO) method.<sup>13</sup> The LANL2DZdp ECP basis set was used for  $E_n^{2-}$  ( $E = \text{Si, Ge, Sn, Pb; } n = 5-12$ ), whereas the LANL2DZ basis set was used for other species unless mentioned otherwise. The pseudopotential approximation has been demonstrated to be a reliable technique for NICS computations for heavy element clusters.<sup>14</sup> To gain further insight into the aromaticity and electronic structure of  $I_h E_{12}^{2-}$  and  $D_{4d} E_{10}^{2-}$  clusters, a recent refinement of the NICS method, CMO-NICS, was employed to compute the individual canonical molecular orbital contributions to the overall NICS value.<sup>15</sup>

Extensive potential energy surface scans have been performed for  $AlE_n^+$  ( $E = \text{Si, Ge, Sn, Pb; } n = 5-12$ ) (at B3LYP/6-31G\* for  $E = \text{Si, Ge}$ ; at B3LYP/LANL2DZ for  $E = \text{Sn, Pb}$ ) to locate the most stable isomers for each composition. All the above computations employed the Gaussian 03 program.<sup>16</sup> In addition, Th@Si<sub>20</sub> systems were computed at the PBEPBE level<sup>17</sup> with the double numerical plus polarization (DNP) basis set<sup>18a</sup> using the DMol<sup>3</sup> program.<sup>18</sup>

Cationic Al doped group 14 element clusters  $AlE_n^+$  ( $E = \text{Si, Ge, Sn, Pb}$ ) were generated using a dual-target dual-laser vaporization source<sup>19</sup> and mass analyzed using reflectron time-of-flight mass spectrometry. Mass abundance spectra are shown in Figure 3. We reported the discovery and discussed reasons for the unusual stability of  $AlPb_{12}^+$  and  $AlPb_{10}^+$  (Figure 3d) recently.<sup>10</sup> The mass abundance spectrum for aluminum doped tin clusters (Figure 3c) features binary  $AlSn_{n-16}^+$  clusters, among which  $AlSn_6^+$ ,  $AlSn_7^+$ ,  $AlSn_{10}^+$ , and  $AlSn_{12}^+$  are especially prominent, particularly when compared to the corresponding pure  $Sn_n^+$  clusters (peaks immediately before the  $AlSn_n^+$  species). In contrast to the  $AlPb_n^+$  clusters,  $AlSn_{10}^+$  has the highest abundance in the tin set and  $AlGe_n^+$  ( $n = 6, 7, \text{ and } 10$ ) among the germanium clusters (Figure 3b). The mass abundance spectrum for aluminum–silicon clusters is complicated to analyze due to additional signals from pure  $Si_n^+$ , pure  $Al_n^+$ , and multiply doped binary  $Al_mSi_n^+$  species. In addition, the atomic masses of Al and Si differ by only one



**Figure 2.** NICS values at the centers of  $E_n^{2-}$  cages computed at the GIAO-B3LYP/LANL2DZdp//B3LYP/LANL2DZdp level. Negative NICS values indicate diatropic (aromatic) structures, whereas positive values indicate paratropic (antiaromatic) species.

atomic mass unit (amu). However, as in the  $AlGe_n^+$  set,  $AlSi_n^+$  ( $n = 6$  and  $7$ ) clusters are abundant, whereas  $AlSi_{10}^+$  is much less pronounced (Figure 3a). Neither Figure 3a nor 3b provide any indication of special stability for  $AlSi_{12}^+$  or  $AlGe_{12}^+$ , respectively.

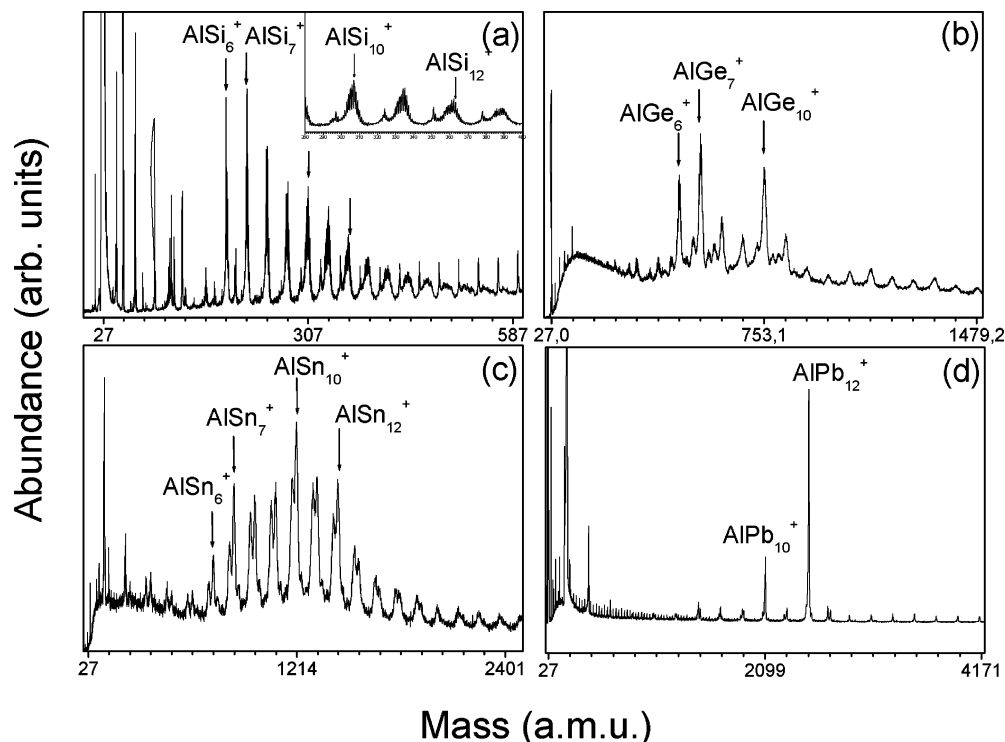
## Results and Discussion

**Procedures to Design Stable Spherical Clusters.** Two main factors can be assumed to determine the stability of a spherical cluster, namely aromatic stabilization and close packing. Hence, the following procedure is proposed for molecular designs: a highly symmetrical hollow cage molecule, e.g., with icosahedral symmetry (Figure 1), is chosen initially. The number of electrons is then adjusted by the appropriate choice of atoms and charges to achieve significant aromatic character. The aromaticity can be assessed by computing the nucleus-independent chemical shifts (NICS)<sup>12</sup> at the hollow cage centers. Note that empty cage molecules, despite being aromatic, sometimes are not local minima in the symmetry imposed during the optimization. The second step of the procedure is to insert an endohedral guest atom (or atoms) of suitable size while maintaining the electron count of the empty cage by adjusting the overall charge. Electron transfer and a degree of covalent bonding between the endohedral atom and the outer cage can further enhance the binding energy. Providing that the guest atom is of the correct size, the endohedral complex should be a local minimum with no imaginary vibrational frequencies. This procedure is shown schematically in Figure 1.

**Applications and Experimental Confirmations. Group 14 element cages  $E_n^{2-}$ .** Previous NICS aromaticity computations on deltahedral  $Si_n^{2-}$  cages<sup>6,20</sup> have now been extended to systematic evaluations of the aromaticity of the group 14 deltahedral  $E_n^{2-}$  cages ( $E = \text{Si, Ge, Sn, Pb, } n = 5-12$ ). Vibrational frequencies and NICS (Figure 2) were computed using the B3LYP/LANL2DZdp optimized geometries. Except that  $D_{2d} Si_8^{2-}$  distorts to  $D_2$  symmetry, all the other empty deltahedral clusters are local minima ( $O_h Si_6^{2-}$  has two imaginary frequencies at B3LYP/LANL2DZdp, but is a local minimum at B3LYP/6-311+G\*). Extensive searches of the

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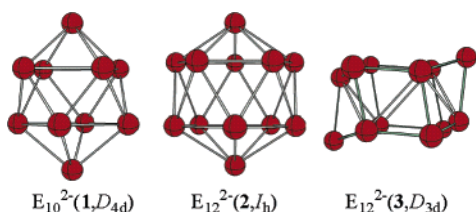


**Figure 3.** Mass abundance spectrum of mixed (a)  $\text{AlSi}_n^+$ , (b)  $\text{AlGe}_n^+$ , (c)  $\text{AlSn}_n^+$ , and (d)  $\text{AlPb}_n^+$  clusters, showing the stability trend for  $\text{AlE}_{10}^+$  and  $\text{AlE}_{12}^+$ . Among the  $\text{AlE}_{10}^+$  species,  $\text{AlGe}_{10}^+$ ,  $\text{AlSn}_{10}^+$ , and  $\text{AlPb}_{10}^+$  are abundantly produced. Among the  $\text{AlE}_{12}^+$  species,  $\text{AlSn}_{12}^+$  and especially  $\text{AlPb}_{12}^+$  are very prominent.

potential energy surface (PES) show that  $\text{Si}_6^{2-}$  and  $\text{Ge}_6^{2-} (O_h)$  are the global minima at B3LYP/6-311+G\*, as are  $\text{Sn}_6^{2-}$  and  $\text{Pb}_6^{2-} (O_h)$  at the B3LYP/LANL2DZ level (see the Supporting Information for all of these results).

Several features in Figure 2 stand out. (1) Judging from their positive NICS values, all  $\text{E}_6^{2-} (O_h)$  species are moderately to highly antiaromatic. (2) All of the group 14 cages with the same number of vertices have similar NICS, but  $\text{E}_{10}^{2-} (1, D_{4d})$  and  $\text{E}_{12}^{2-} (2, I_h)$  are notable exceptions. (3) The NICS values of  $\text{E}_{10}^{2-} (D_{4d})$  clusters are all negative, but their magnitude decreases from silicon to lead. (4) The NICS trend for the  $\text{E}_{12}^{2-} (I_h)$  clusters was completely opposite to that for  $\text{E}_{10}^{2-} (D_{4d})$ , changing from highly antiaromatic (for silicon) to highly aromatic (lead).

The change from highly antiaromatic  $\text{Si}_{12}^{2-} (I_h)^6$  to highly aromatic  $\text{Pb}_{12}^{2-} (I_h)^{10}$  deserves explanation. CMO-NICS analysis (see the SI) shows that the paratropic contribution of the 5-fold degenerate  $h_g$  MO set (part of the incomplete  $1 g^{10}$  shell)<sup>21</sup> dominates the total NICS of  $\text{Si}_{12}^{2-}$ . However, this major paratropic contribution diminishes down the group 14 elements (see the Supporting Information).



The significant difference in aromatic character along the  $\text{E}_{12}^{2-} (I_h)$  series has direct consequences on their stabilities. Despite its compact geometric structure,  $I_h \text{Si}_{12}^{2-}$  is not the

global minimum! A  $D_{3d}$  isomer (**3**) is 50.3 kcal/mol more stable at the B3LYP/LANL2DZ level (37.5 kcal/mol at B3LYP/6-311+G\*) than the  $I_h$  isomer. However, the  $I_h$  structures become gradually more favorable down the periodic table from  $\text{Ge}_{12}^{2-}$  to  $\text{Pb}_{12}^{2-}$  (see the Supporting Information). Very recently, the nonaromatic  $I_h \text{Sn}_{12}^{2-}$  (NICS 2.5 ppm) was detected in the form of  $\text{K}^+[\text{Sn}_{12}]^{2-}$  in the gas phase; its stability may be attributed to the highly symmetrical close-packing.<sup>22</sup>

Also in 2006, Fässler, *et al.*<sup>23</sup> characterized the  $\text{Pb}_{10}^{2-}$  Zintl ion unambiguously in the solid state as the first empty 10-atom *closo* cluster of a group 14 element. It seems likely that other  $\text{E}_{10}^{2-}$  ( $\text{E} = \text{Si}, \text{Ge}, \text{Sn}$ ) Zintl ions with even greater aromatic character will be isolated in the near future.

$\text{Pb}_{12}^{2-}$  and all four  $\text{E}_{10}^{2-}$  clusters are highly aromatic and obey the first criterion for magic cluster construction, i.e., good electronic fit. In the second step, an  $\text{Al}^{3+}$  trication is inserted into the cavities of these aromatic cages. However, DFT computations show that among these five aromatic cages, only  $\text{Pb}_{12}^{2-}$ ,  $\text{Pb}_{10}^{2-}$ ,  $\text{Sn}_{10}^{2-}$ , and  $\text{Ge}_{10}^{2-}$  have suitable size cavities to host an  $\text{Al}^{3+}$  satisfactorily. The good geometrical fit between the host and the guest results in endohedral complexes, which are local minima.  $\text{Si}_{10}^{2-}$  is too small to accommodate  $\text{Al}^{3+}$  ( $N_{\text{imag}} = 5$ ). Because the  $\text{E}_{10}^{2-}$  and  $\text{E}_{12}^{2-}$  cages have high symmetries and compact structures ( $\text{AlSi}_{10}^+$  is an exception,

- (21) The  $g$  orbitals, which are nine-fold degenerate in the spherical  $K_h$  symmetry of atoms, split into a number of components in the actual lower point group symmetry in molecules. In icosahedral symmetry, the nine  $g$  orbitals split into four-fold and five-fold degenerate orbital sets. Only the latter is fully occupied (by five electron pairs) in an incomplete  $1g^{10}$  shell. In the lower  $D_{4d}$  symmetry of the 10-vertex clusters, the corresponding five electron pairs occupy a non-degenerate orbital (the  $1g^2$  part of an incomplete  $1g$  shell) and two doubly degenerate orbital sets.
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as the cage is too small), the stabilities are mainly governed by the aromaticity. Thus  $\text{AlGe}_{10}^+$ ,  $\text{AlSn}_{10}^+$ , and  $\text{AlPb}_{10}^+$  are expected to be highly stable. Unlike  $\text{AlSi}_{12}^+$  and  $\text{AlGe}_{12}^+$ ,  $\text{AlSn}_{12}^+$  should be moderately stable and  $\text{AlPb}_{12}^+$  should be highly stable (the stability of  $\text{AlSn}_{12}^+$  is mainly due to its compact structure). Cages smaller than  $\text{E}_{10}^{2-}$  considered above are not large enough to accommodate an  $\text{Al}^{3+}$  cation inside and remain compact geometric structures; thus, they cannot be used to construct endohedral clusters, even though  $\text{E}_5^{2-}$  and  $\text{E}_9^{2-}$  are aromatic. The stability of the divalent-metal encapsulated  $I_h$   $\text{MSn}_{12}$  clusters can be understood similarly.<sup>24</sup>

We have also computed the PESs very extensively for  $\text{AlE}_n^+$  ( $E = \text{Si, Ge, Sn, Pb}$ ) (details in the Supporting Information). We find that the  $D_{4d}$   $\text{AlE}_{10}^+$  ( $E = \text{Ge, Sn, Pb}$ ) and the  $I_h$   $\text{AlPb}_{12}^+$ , our proposed magic clusters, are lower in energy than all of the other isomers we surveyed and are the global minima. The Al atoms prefer exohedral or substitution sites in  $\text{AlSi}_n^+$  ( $n = 5-12$ ) and  $\text{AlE}_n^+$  ( $E = \text{Ge, Sn, Pb; } n = 5-9$ ) (see the Supporting Information), owing to the lack of aromatic stabilization and (or) misbalance between the central dopant and the outer  $\text{E}_n^{2-}$  cage. The enhanced stability of our proposed magic clusters can also be seen from the computed binding energies (see the Supporting Information):  $D_{4d}$   $\text{AlE}_{10}^+$  ( $E = \text{Ge, Sn, Pb}$ ) has significantly larger binding energy than the average value between  $\text{AlE}_9^+$  and  $\text{AlE}_{11}^+$ ; the binding energies from  $\text{AlPb}_{11}^+$  to  $\text{AlPb}_{12}^+$  increase rather sharply, indicating the unusually high stability of  $I_h$   $\text{AlPb}_{12}^+$ .

Using our proposed principles, the most stable clusters can be obtained even without the tedious PES scan, although exceptions may exist due to the rather complex bonding of clusters. Note that a global minimum structure does not necessarily have the unusual stability associated with a “magic cluster” composition observed as a high abundance peak in a mass spectrometric experiment. This is illustrated by  $\text{AlGe}_{12}^+$  and  $\text{AlSn}_{12}^+$ . Even though  $\text{AlGe}_{12}^+$  ( $I_h$ ) and  $\text{AlSn}_{12}^+$  ( $I_h$ ) are the lowest-energy minima among the many alternatives we computed, our experiment (see below) showed that neither of them is a magic cluster, owing to the moderate antiaromatic (for  $I_h$   $\text{Ge}_{12}^{2-}$ ) or nonaromatic (for  $I_h$   $\text{Sn}_{12}^{2-}$ ) character of the outer cages.

Although the mass distribution of clusters produced in a laser vaporization source does not necessarily reflect thermodynamic preference, under suitable source conditions, enhanced stabilities of certain cluster species nevertheless result in prominent abundances (e.g., the discovery of  $\text{C}_{60}$ ). These stabilities are consistent with the experimental abundance of the  $\text{AlE}_{10}^+$  ( $E = \text{Ge, Sn, Pb}$ ) and  $\text{AlE}_{12}^+$  ( $E = \text{Sn, Pb}$ ) clusters (Figure 3). Note that  $\text{AlE}_{12}^+$  ( $E = \text{Si, Ge}$ ) clusters with antiaromatic outer  $\text{E}_{12}^{2-}$  cages have very small peak intensities. The gradual change of experimental abundances of  $\text{AlE}_{12}^+$  ( $E = \text{Si, Ge, Sn, Pb}$ ) species follows our predictions nicely.

Our experimental results clearly show that structural criteria alone are not adequate for judging the aromaticity of metal clusters. If structure were the major determinant of aromaticity, then *all* of the well packed  $I_h$   $\text{AlE}_{12}^+$  clusters would be highly aromatic and abundant experimentally. Although the  $\text{E}_6^{2-}$  ( $O_h$ ) species are all moderately or highly paratropic (antiaromatic), they are located in deep valleys in the potential energy surface

because there are no competing low-energy structural alternatives (see the Supporting Information). Therefore, the  $\text{E}_6^{2-}$  ( $O_h$ ) set should be viable and provides promising synthetic targets for experimental characterization of genuinely antiaromatic metal-atom clusters.

The aromaticity/antiaromaticity trend of bare deltahedral group-14 clusters has direct consequences in the stability of their endohedral complexes. A strong correlation should exist between computed (anti)aromaticity and experimental abundance providing that the cavity is of suitable size (neither too large nor too small). For example, no stable divalent-metal-atom-doped  $\text{M@Si}_{12}$  cluster with  $I_h$  symmetry has been reported experimentally. Although our computations show that endohedral  $\text{Li}^+$ ,  $\text{Be}^{2+}$ ,  $\text{B}^{3+}$ ,  $\text{Na}^+$ ,  $\text{Mg}^{2+}$ , and  $\text{Al}^{3+}$  are local minima inside  $I_h$   $\text{Si}_{12}^{2-}$ , these  $I_h$  species are considerably higher in energy than open isomers with lower symmetry (see the Supporting Information). Moreover, although  $\text{Zn@Ge}_{12}$  was predicted to be a magic cluster,<sup>24a</sup> our experiments showed no evidence for its enhanced stability.<sup>25</sup> This can be rationalized by the moderate antiaromaticity of  $\text{Ge}_{12}^{2-}$  ( $I_h$ ) (NICS 14.2 ppm). All these observations emphasize that not only geometrical fit but also the aromatic vs antiaromatic character determines the stability of high-symmetry clusters.

Similarly, group 10 transition metals (Ni, Pd, and Pt) are favorable as endohedral atoms. DFT computations (Table 1) reveal the following minima, which can be expected to be magic clusters:  $\text{Ni@Ge}_{10}^{2-}$ ,  $\text{Ni@Sn}_{10}^{2-}$ ,  $\text{Ni@Pb}_{10}^{2-}$ ,  $\text{Pd@Sn}_{10}^{2-}$ ,  $\text{Pd@Pb}_{10}^{2-}$ ,  $\text{Pt@Pb}_{10}^{2-}$ ,  $\text{Ni@Pb}_{12}^{2-}$ ,  $\text{Pd@Pb}_{12}^{2-}$ , and  $\text{Pt@Pb}_{12}^{2-}$ . There is no significant electron transfer between the  $d^{10}$  transition metals and the outer cages. These endohedral  $d^{10}$  atoms are exceptional among transition metals as they can be considered to be “pseudo-noble-gases,” which neither donate nor accept cage electrons and interact only weakly with the surrounding polyhedron.<sup>26</sup>  $\text{M@Pb}_{12}^{2-}$  ( $\text{M} = \text{Ni, Pd, Pt}$ )<sup>27a,b</sup> and  $\text{Ni@Pb}_{10}^{2-}$ <sup>27c</sup> already have been isolated in the solid state by Eichhorn and co-workers and their structures have been characterized by X-ray diffraction.

CMO-NICS analysis revealed that the frontier  $a_1$  molecular orbital (the  $1 g^2$  part of the incomplete  $1 g$  shell)<sup>21</sup> has a highly paratropic contribution to the total NICS of  $\text{E}_{10}^{2-}$  cages (see the Supporting Information). Accordingly, removing two electrons from the HOMO of  $\text{E}_{10}^{2-}$  ( $D_{4d}$ ) dianions results in more highly aromatic neutral clusters, even though they are all transition structures (NImag = 1). Incorporating suitable group 10 transition metals leads to the following local minima (Table 1), which are potential magic clusters:  $\text{Ni@Si}_{10}$ ,  $\text{Ni@Ge}_{10}$ ,  $\text{Pd@Ge}_{10}$ ,  $\text{Pt@Ge}_{10}$ ,  $\text{Pd@Sn}_{10}$ ,  $\text{Pt@Sn}_{10}$ , and  $\text{Pt@Pb}_{10}$ . Guided by the experimental finding of 10 atom anionic clusters of group 14 elements doped with Co,<sup>28</sup> Kumar *et al.*<sup>29</sup> reported similar computational results earlier. Most recently, Han *et al.* found that  $\text{Ni@Ge}_{10}$  is the most stable species of Ni-doped germanium

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**Table 1.** NICS Values<sup>a</sup> at the Cage Center of Bare E<sub>10</sub><sup>2-</sup>(D<sub>4d</sub>), Pb<sub>12</sub><sup>2-</sup>(I<sub>h</sub>), and E<sub>10</sub>(D<sub>4d</sub>) Cages, the Number of Imaginary Frequencies, and the HOMO–LUMO Separation Energies<sup>b</sup> of Endohedral M@ E<sub>10</sub><sup>2-</sup>, Pb<sub>12</sub><sup>2-</sup>, and E<sub>10</sub> Cages (at B3LYP/LANL2DZ)

NICS	Si <sub>10</sub> <sup>2-</sup>		Ge <sub>10</sub> <sup>2-</sup>		Sn <sub>10</sub> <sup>2-</sup>		Pb <sub>10</sub> <sup>2-</sup>		Pb <sub>12</sub> <sup>2-</sup>	
	-49.5		-39.2		-32.1		-24.8		-18.2	
M@	NImag	gap	NImag	gap	NImag	gap	NImag	gap	NImag	gap
Al <sup>3+</sup>	5	2.28	0	2.49	0	2.35	0	2.63	0	3.09
Ni	2	2.06	0	2.21	0	2.16	0	2.29	0	2.66
Pd	5	1.67	2	1.92	0	1.96	0	2.12	0	2.75
Pt	5	1.40	4	1.73	1	1.81	0	1.97	0	2.68

NICS	Si <sub>10</sub>		Ge <sub>10</sub>		Sn <sub>10</sub>		Pb <sub>10</sub>	
	-71.7		-59.2		-49.9		-39.1	
M@	NImag	gap	NImag	gap	NImag	gap	NImag	gap
Ni	0	2.85	0	2.55	1	2.12	1	1.83
Pd	2	2.42	0	2.26	0	1.98	1	1.75
Pt	2	2.55	0	2.38	0	2.10	0	1.86

<sup>a</sup> ppm, at GIAO-B3LYP/LANL2DZ// B3LYP/LANL2DZ. <sup>b</sup> Gap, in eV.

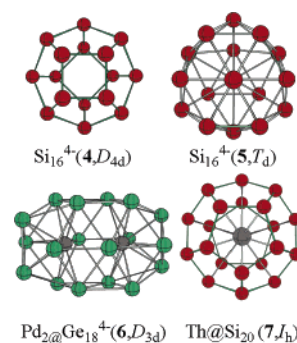
clusters NiGe<sub>n</sub> ( $n = 1-13$ ) according to the computed averaged binding energies.<sup>30</sup>

Our principle can explain the high stability of some metal-encapsulated silicon and germanium clusters very well.<sup>31</sup> For example, Si<sub>16</sub><sup>4-</sup>, with either the fullerene-like (**4**) or the Frank-Kasper polyhedral (**5**) structure, is aromatic (NICS values are -40.9 and -22.9 ppm, respectively). But neither structure is a local minimum (NImag = 7 and 3, respectively). Adding an endohedral metal atom with four valence electrons, such as Ti and Zr, results in the stable clusters predicted by Kumar *et al.*<sup>32</sup> The MSi<sub>16</sub> (M=Ti, Sc<sup>-</sup>, and V<sup>+</sup>) magic clusters were characterized experimentally in the gas phase.<sup>33</sup> Most recently, [Pd<sub>2</sub>@Ge<sub>18</sub>]<sup>4-</sup> (**6**), the largest single-cage deltahedral cluster comprised of a Ge<sub>18</sub> cage and two central palladium atoms, was isolated by Goicoechea and Sevov.<sup>34</sup> Its high stability can also be rationalized by our principle: the empty Ge<sub>18</sub><sup>4-</sup>(D<sub>3d</sub>) cage is highly aromatic (NICS -43.5 ppm at the cage center), the two d<sup>10</sup> endohedral palladium atoms result in a compact cluster. Note that the two palladium atoms are separated by 2.831 Å. This distance is longer than the internuclear separation (2.751 Å) in palladium metal.<sup>35</sup> Hence, the two palladium atoms may be separated inside the cage, and 2Pd@Ge<sub>18</sub><sup>4-</sup> may be a better description for this cluster. Additional endohedral Zintl ions can be expected by taking advantage of the aromaticity of the bare Zintl ion cages.<sup>36</sup>

In cases where good geometric balance between the encapsulated atom and the outer cage is lacking, only distorted clusters can be expected. Very recently, Th@Si<sub>20</sub> (**7**, I<sub>h</sub>) was proposed by Kumar *et al.*<sup>37</sup> as a stable cluster. The stability of I<sub>h</sub> M@Si<sub>20</sub> (M = tetravalent metal) can be rationalized by assuming the

transfer of four electrons from the central thorium atom to the outer Si<sub>20</sub> cage, which might result in extra stabilization due to the highly aromatic silicon cage (NICS -88.3 ppm for I<sub>h</sub> Si<sub>20</sub><sup>4-</sup>). Despite this possibility and the relatively compact structure, I<sub>h</sub> Th@Si<sub>20</sub> is *not* a local minimum; a C<sub>2h</sub> minimum is 4.5 kcal/mol lower in energy at PBEPBE/DNP level.<sup>38</sup> Smaller endohedral atoms such as Ti, Zr and Hf also are not large enough to stabilize the Si<sub>20</sub><sup>4-</sup>(I<sub>h</sub>) cage (NImag = 4 for all the three species, see the Supporting Information). The distorted structure of Zr@Si<sub>20</sub> was found earlier.<sup>39</sup>

On the other hand, an aromatic outer cage is equally important to achieve highly stable spherical clusters. Recently, Zeng *et al.*<sup>40</sup> found that the tetrahedral fullerene Si<sub>28</sub> cage can be stabilized when it encapsulates a tetrahedral metallic cluster (Al<sub>4</sub> or Ga<sub>4</sub>). However, by itself, the outer Si<sub>28</sub><sup>4-</sup> cage is nonaromatic (NICS -1.8 ppm at GIAO-BP86/6-31G\*\*/BP86/6-31G\*). This indicates that the stabilities of these M<sub>4</sub>@Si<sub>28</sub> clusters mainly comes from the close-packing and the aromaticity of the encapsulated M<sub>4</sub> cluster. Endohedral silicon fullerenes with greater stability can be expected if the outer silicon cages are aromatic.



**Gold Clusters.** Gold shows unusual physicochemical properties owing to relativistic effects.<sup>41</sup> Various electron counting rules have been proposed to rationalize the stability of gold

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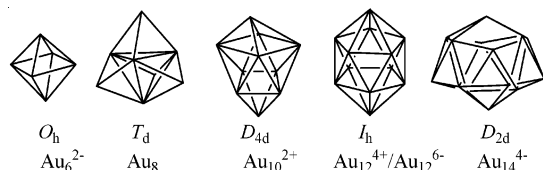
(38) NImag = 12 at the B3LYP and PW91PW91 levels with ECP basis sets (Stuttgart RSC 1997 ECP basis set for Th, LanL2DZ basis set for Si); NImag = 4 at PBEPBE/DNP. At the last level, mode following of the first imaginary frequency led to the C<sub>2h</sub> minimum, which has one negligibly-small imaginary frequency (25cm<sup>-1</sup>).  
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**Table 2.** Number of Imaginary Frequencies, NICS Values (ppm) at the Cage Centers of the Empty Gold Clusters, and Spherical Clusters Predicted to Be Stable Using the Principles Proposed in This Paper<sup>a</sup>

	symmetry	NImag	NICS	true minima	
				experimentally known	awaiting verification
Au <sub>6</sub> <sup>2-</sup>	<i>O<sub>h</sub></i>	0	-38.1	[C@Au <sub>6</sub> ] <sup>2+</sup> , <sup>42 b</sup>	Au <sub>6</sub> <sup>2-</sup> <sup>c</sup>
Au <sub>8</sub>	<i>T<sub>d</sub></i>	0	-30.8		Au <sub>8</sub> <sup>d</sup>
Au <sub>10</sub> <sup>2+</sup>	<i>D<sub>4d</sub></i>	0	-36.6	Au <sub>10</sub> <sup>2+</sup> , [Au@Au <sub>10</sub> ] <sup>3+</sup> , <sup>43 e</sup>	
Au <sub>12</sub> <sup>4+</sup>	<i>I<sub>h</sub></i>	3	-34.2	[Pd@Au <sub>12</sub> ] <sup>4+</sup> , <sup>44</sup> [Au@Au <sub>12</sub> ] <sup>5+</sup> , <sup>45</sup>	[Ni@Au <sub>12</sub> ] <sup>4+</sup> , [Pt@Au <sub>12</sub> ] <sup>4+</sup>
Au <sub>12</sub> <sup>6-</sup>	<i>I<sub>h</sub></i>	5	-54.3	Mo@Au <sub>12</sub> , W@Au <sub>12</sub> <sup>46</sup>	
Au <sub>14</sub> <sup>4-</sup>	<i>D<sub>2d</sub></i>	0	-53.0		M@Au <sub>14</sub> (M=Zr,Hf), M@Au <sub>14</sub> <sup>-</sup> (M=Sc,Y) <sup>47</sup>
Au <sub>32</sub>	<i>I<sub>h</sub></i>	0	-100		Au <sub>32</sub> , <sup>5c</sup> Ni <sub>6</sub> @Au <sub>32</sub> <sup>48</sup>

<sup>a</sup> Geometry and frequency computations were performed at the B3LYP/LANL2DZ level; NICS values were computed at GIAO-B3LYP/LANL2DZ on the optimized structures. <sup>b</sup> [C@Au<sub>6</sub>]<sup>2+</sup> has three imaginary frequencies, but the largest is only 11.3i cm<sup>-1</sup>. <sup>c</sup> Au<sub>6</sub><sup>2-</sup>(*O<sub>h</sub>*) is ca. 30 kcal/mol more stable than the planar *D<sub>3h</sub>* and *C<sub>2v</sub>* isomers at the MP2/LANL2DZ level (see the Supporting Information). <sup>d</sup> Au<sub>8</sub>(*T<sub>d</sub>*) is the most stable isomer at MP2 and CCSD(T) levels, see ref 49. <sup>e</sup> [Au@Au<sub>10</sub>]<sup>3+</sup> has two small imaginary frequencies, but the larger is only 18i cm<sup>-1</sup>.

clusters,<sup>41b</sup> which have been studied extensively recently.<sup>41</sup> Table 2 summarizes the stable clusters designed using our principles. All of the empty gold cages are aromatic, as indicated by their highly negative (diatropic) NICS values. A stable spherical cluster is expected to result, provided that there is a good match, geometrically and electronically, between the cage and an enclosed atom. For example, C<sup>4+</sup> fits into the Au<sub>6</sub><sup>2-</sup> (*O<sub>h</sub>*) cage cavity well. Consequently, the spherical cluster [C@Au<sub>6</sub>]<sup>2+</sup> (or C<sup>4+</sup>@Au<sub>6</sub><sup>2-</sup>) is a stable local minimum; however, the isoelectron B<sup>3+</sup> and N<sup>5+</sup> species do not have the correct size for effective inclusion and their endohedral complexes have three and six imaginary frequencies, respectively.



Very recently, it was found that the free-standing gold cluster anions Au<sub>*n*</sub><sup>-</sup> (*n* = 16–18) are hollow golden cages,<sup>50</sup> it is highly possible that golden cages of such sizes (ca. 6 Å) can accommodate a guest atom (or guest atoms) inside to form stable spherical clusters, as pointed out by the original authors.

## Conclusion

In summary, general principles based both on three-dimensional aromatic stabilization and on close-packing structures can be employed to design various stable high-symmetry clusters. The applicability of these principles has been confirmed by our experiments and supporting computations on group 14

element cages with endohedral Al's and is further illustrated by many literature reports of cages with endohedral d<sup>10</sup> transition metal atoms, which do not affect the skeletal bonding or the skeletal electron count. All these examples show that NICS can serve not only as a measure of aromaticity but also can help to design stable clusters. These general principles explain the stability of various endohedral cluster compounds. Further applications, to endofullerenes,<sup>51</sup> for example, are underway.

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**Note added in proof.** After we submitted this manuscript, Chen *et al.*<sup>52</sup> reported their theoretical studies on the electronic structures and stabilities of cationic MPb<sub>12</sub><sup>+</sup> clusters (M = B, Al, Ga, In, and Tl), and presented the MO-NICS analysis of *I<sub>h</sub>* Pb<sub>12</sub><sup>2-</sup>. After the acceptance of this manuscript, Wang *et al.*<sup>53</sup> reported the gas-phase production and characterization of Pb<sub>12</sub><sup>2-</sup> in the form of K<sup>+</sup>[Pb<sub>12</sub>]<sup>2-</sup>.

**Supporting Information Available:** NICS values at cage centers of E<sub>*n*</sub><sup>2-</sup> (E = Si, Ge, Sn, Pb; *n* = 2–12), energy data of *D<sub>3d</sub>* and *I<sub>h</sub>* isomers of E<sub>12</sub><sup>2-</sup> and their endohedral compounds, optimized structures and energy data of E<sub>6</sub><sup>2-</sup>, AlE<sub>*n*</sub><sup>+</sup> (*n* = 5–12, E = Si, Ge, Sn, Pb) and Au<sub>6</sub><sup>2-</sup> isomers, the summary of the most stable isomers of AlE<sub>*n*</sub><sup>+</sup> (*n* = 5–12, E = Si, Ge, Sn, Pb), the computed binding energies and the second difference in binding energies of the most stable isomers of AlE<sub>*n*</sub><sup>+</sup>, CMO-NICS analysis of *I<sub>h</sub>* E<sub>12</sub><sup>2-</sup> and *D<sub>4d</sub>* E<sub>10</sub><sup>2-</sup> (E = Si, Ge, Sn, Pb) clusters, the full citation of ref 16, as well as the Gaussian archive files of the optimized structures. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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